

Securities Settlement Instruction Form (SI and ISI) 證券交收指示表格

| | | | | |
|---|--------------------|---|----------------|---------------------------|
| Client Name 客戶名稱 | | Account Number 戶口號碼 | | |
| <input type="checkbox"/> RECEIVE the following securities for my/our securities account 請安排 收取 下列證券並存入本人/吾等之證券戶口 | | <input type="checkbox"/> DELIVER the following securities from my/our securities account 請由本人/吾等之證券戶口 提取 下列證券並安排交付 | | |
| Name of Counterparty/Broker: 交收對手名稱 : | | | | |
| Counterparty/Broker CCASS Participant ID: 交收對手中央結算編號 : | | | | |
| Counterparty/Broker Contact Person & Tel No: 交收對手聯絡人及電話號碼 : | | | | |
| <input type="checkbox"/> Against Payment 貨銀對付交收 | | <input type="checkbox"/> Free of Payment 無需付款交收 | | |
| Stock/ISIN Code 股票/國際證券號碼 | Stock Name 股票名稱 | Number of Shares 股份數目 | Currency 幣種 | Settlement Amount 交收金額 |
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| Remark 備註: | | | | |

 Client's Signature 客戶簽署: _____
 (With Company Chop, if any / 如屬公司客戶請連印章)

Date 日期: _____

* Instructions received before 12noon will be handled on the same day; otherwise we do not guarantee to handle on the same day.
 * 於交易日正午12:00前收到的指示, 本公司會即日處理;
 正午12:00後收到的指示, 不保證能於當天處理。

For Internal Use Only 只供本公司內部使用

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|-----------------------|-----------------------------|--------------------------|--------------------------|
| Submitted by 經辦: | Signature Verified by 檢查簽署: | Charges 費用: | Approved by 批核 (交收部): |
| CCASS Inputted by 輸入: | CCASS Approved by 批核: | System Inputted by 系統輸入: | System Approved by 系統批核: |